

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending 2/29/16

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

JETRO Chicago

(b) Registration No.

1850

(c) Business Address(es) of Registrant

1 E. Wacker Drive, Suite 3350, Chicago, IL 60601

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☐ No ☒(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐ No ☒If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐

If yes, identify each such person and describe the service rendered.

N/A

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

N/A

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Japan External Trade Organization (JETRO) Tokyo, Japan

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☐

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

2 The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

3 The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

4 The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

Please see Attachment A.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Please see Attachment C.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
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Please see
Attachment E.

Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D⁸ to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
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Please see
Attachment B.

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²
 Yes ☒ No ☐

If Yes, go to Item 17.

- (b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?
 Yes ☐ No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

Japan External Trade Organization (JETRO) Tokyo, Japan

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☒ Press releases ☒ Pamphlets or other publications ☒ Lectures or speeches
☐ Other (specify) _____

Electronic Communications

- ☒ Email
☐ Website URL(s): _____
☐ Social media websites URL(s): _____
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☒ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in the informational materials:

- ☒ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes ☒ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

March 28, 2016

/s/ Ichiro Sone

eSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Attachment A

Response to Item 11

Japan External Trade Organization (JETRO), Tokyo, Japan

The Japan External Trade Organization (JETRO), established by a special law in Japan, is a non-profit, Japanese-government supported organization dedicated to promoting mutually beneficial trade and economic relations between Japan and other countries.

In the United States, JETRO currently maintains offices in New York, San Francisco, Chicago, Los Angeles, Houston, and Atlanta. These offices provide information relating to the Japanese economy and business as well as U.S.-Japan economic and trade relations and engage in various activities to promote a mutual understanding of U.S.-Japan economic and trade relations. The functions of JETRO's U.S. Offices are believed to be similar to those of the United States Trade Center (of the United States Department of Commerce) established in Japan.

As the Chicago-based office of the Japan External Trade Organization (JETRO), JETRO Chicago provides to public officials, corporations, associations, individuals and any other interested parties information and materials relating to various aspects of Japanese economy and business, as well as U.S.-Japanese economic and trade relations. JETRO Chicago also monitors the development of U.S. economic and market conditions for JETRO.

Attachment B

Disbursements from 9/1/2015 - 2/29/2016: Response to Item 15.(a)

Expense Categories	Disbursement Recipients	Month					
		Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16
Salary	Staff	\$41,728.84	\$43,178.90	\$45,389.32	\$49,411.38	\$42,517.71	\$47,184.82
Insurance & Welfare (Medical, Fire Damage)	LINA, Marsh JCS, T Rowe Price Retirement Plan Services, CGLIC, Quill, Staff	\$16,778.30	\$17,586.98	\$16,977.07	\$17,131.09	\$17,284.06	\$17,635.86
Research	I.T.A., Inc., Olsson Frank Weeda Terman Matz PC	\$0.00	\$5,000.00	\$0.00	\$0.00	\$0.00	\$15,000.00
Professional Fees	Hidemitsu Saito, Mayeroff and Associates, Absolute Exhibits Inc., G-Tec Corporation, I.T.A. Inc., Ballou-Stoll Bader & Nadler, P.C., Barnes & Thornburg LLP, White Cube LLC, M Square Inc., Xpert Exposition Services, Mike Sula, EH Publishing, Swift Impressions, Inc., Masuda, Funai, Eifert & Mitchell, Ltd., Global Experience Specialists,	\$6,769.04	\$37,098.89	\$18,946.00	\$100,814.87	\$17,289.91	\$28,205.68
Outside Services	Pasana N.A., Inc, Tsuruki Promotions II, LLC, Sentry Security, Top Chicago, Sentry Security, Inc., Swift Impressions, Inc., Pacific Advisory Services, Staff, One East Wacker Partners LLC, Teruko Weinberg, Inc., Booth Girl Show Staffing	\$8,059.15	\$14,891.62	\$4,112.95	\$4,073.84	\$11,207.74	\$19,078.20
Communication	Comcast, AT&T Mobility, RingCentral, Inc., Staff	\$1,486.33	\$1,479.74	\$1,480.92	\$1,671.25	\$1,545.06	\$1,683.21
Postage / Freight	OCS America, Inc., FedEx, Staff, Unishippers	\$191.33	\$217.90	\$212.43	\$420.92	\$310.48	\$325.03
Travel (Hotel)/ Transportation	Staff, JTB USA, BP, Kintetsu International Travel Consultants Company	\$20,846.52	\$4,956.29	\$11,493.85	\$9,851.37	\$22,015.74	\$11,587.93
Office Supplies / Equipments	Quill, Staff, Fuyo General Lease (USA) Inc.	\$509.12	\$157.68	\$358.09	\$112.67	\$139.95	\$1,148.54
Office, Parking, Event Space Rent	One East Wacker Partners LLC, LAZ Parking, OESA, Village of Schaumburg, Consumer Electronics Association, The Pittsburgh Conference, PR II Presidents Plaza JV, LLC, JCCC	\$19,886.35	\$24,436.35	\$44,714.35	\$1,215.00	\$665.00	\$665.00
Office Equipment, Lease	I.T.A. Inc., Aqua Coolers, Fuyo General Lease, Inc., Canon Financial Services, Freeman, Staff	\$1,412.00	\$1,823.71	\$1,791.59	\$1,866.59	\$640.00	\$1,571.18
Utility	ComEd, One East Wacker Partners LLC	\$222.56	\$230.28	\$243.24	\$0.00	\$483.35	\$221.29
Library / Subscriptions	Crains Chicago Business, Comcast, The New York Times, Jiji Press America, Ltd., US Frontline News, Inc., Platts, Staff	\$203.06	\$1,200.98	\$1,230.98	\$90.98	\$134.85	\$248.54
Seminar	Japan America Society of Chicago, Staff, OPIS, The Japan America Society of Chicago, Argus Media, Japan America Society of Minnesota, OESA, JCCC, Renewable Fuels Foundation, eventPower, AFPM, Japan-America Society of Indiana	\$6,429.00	\$2,145.00	\$3,080.00	\$1,105.00	\$4,350.00	\$2,355.00
Office Equipment, Car Maintenance	I.T.A., Inc., Canon Financial Services, BP, Staff	\$507.80	\$3,695.65	\$2,007.80	\$2,413.67	\$871.95	\$3,653.38
Meeting	Staff, M Square Catering, The Japan America Society of Chicago, JBSD	\$61.21	\$61.94	\$497.17	\$398.07	\$0.00	\$211.60
Membership Dues	The Chicago Council on Global Affairs, JCCC	\$0.00	\$0.00	\$1,666.67	\$0.00	\$0.00	\$975.00
Miscellaneous Expenses	Quill, Staff, Bank of Tokyo-Mitsubishi UFJ, FARA Registration Unit, BP, ComEd	\$1,029.10	\$231.43	\$536.81	\$566.30	\$284.14	\$1,022.06
Total		\$126,119.71	\$158,393.34	\$154,739.24	\$191,143.00	\$119,739.94	\$152,772.32

Attachment C:

September 1, 2015- February 29, 2016 (Response to Item 12)

During this six-month reporting period, JETRO Chicago organized and/or engaged in the following activities on behalf of its foreign principals, JETRO Tokyo.

Date	Place	Speaker	Subject
2015/10/20	Chicago Marriott Downtown Magnificent Mile, Chicago, IL	Daisuke Ito, Director of Agriculture, JETRO Chicago	FDA Public Meeting (about FSMA) This meeting was hosted by FDA. As one of panelists, JETRO Chicago shared our outreach experience, and the concerns expressed among Japanese companies over FSMA (Food Safety Modernization Act) from the perspective of foreign stakeholders.
2015/10/22	Prairie Center for Arts, Schaumburg, IL	Mari Yamamoto Regnier, Barnes & Thornburg, LLP Partner Kendall Millard, Barnes & Thornburg, LLP Partner	Anti-trust seminar Mari Yamamoto Reigner and Kendal Millard, attorneys from Barnes & Thornburg LLP, provided a basic understanding of antitrust compliance for the management and sales staff at Japanese companies in the U.S. Organizers: JETRO Chicago, JCCC (Japanese Chamber of Commerce and Industries of Chicago) Participants: 55
2015/11/3	Barnes & Thornburg Indianapolis, IN	Mari Yamamoto Regnier, Barnes & Thornburg, LLP Partner Bradly Love, Barnes & Thornburg, LLP Partner	Anti-trust seminar Mari Yamamoto Reigner and Bradly Love, attorneys from Barnes & Thornburg LLP, provided a basic understanding of antitrust compliance for the management and sales staff at Japanese companies in the U.S. Organizer: JETRO Chicago, JASI (Japan America Society of Indiana) Participants: 19
2015/11/10	Presidents Plaza, Chicago, IL	Masaki Nagao, Director of Energy, JETRO Chicago	Energy Seminar Director of Energy, lectured on U.S. energy trends, mainly focusing on the U.S. petroleum industry. Participants: 37

11/19-21/2015	JETRO Chicago	Ichiro Sone, Chief Executive Director of JETRO Chicago Takayuki Watanabe, Executive Director of JETRO Chicago	Service Industry Mission Provided basic knowledge for Japanese restaurant/retailer owners concerning the Chicago market, business environment, real-estate, how to hire local staff, etc. Participants: 8
11/29-12/3/2015	McCormick Place, Chicago, IL	(No Speaker)	RSNA 2015 JETRO's Japan pavilion featured 8 Japanese companies, and a series of meetings were held at the Pavilion with potential customer and distributors.
1/6-9/2016	Las Vegas, NV	(No Speaker)	CES 2016 6 Japanese robotics companies and 2 start-up companies exhibited in the Japan Innovation Showcase, which included 45 staff participants. CES attendance: 150,000
2016/2/11	JETRO New York, NY	Daisuke Ito, Director of Agriculture, JETRO Chicago	FDA Seminar This seminar provided the basic information about FSMA (Food Safety Modernization Act), with the goal to keep food companies that carry Japanese food products in compliance with new regulations, even after their implementations. Participants : around 30
2016/2/11	Presidents Plaza, Chicago, IL	Mamiko Fujita, Willis Tower Watson	Affordable Care Act Seminar This seminar provided basic information about the Affordable Care Act, the recent amendments to the act, and points to remember specifically for Japanese companies in the U.S. Participants: 45

2016/2/18	Hyatt Regency Indianapolis, IN	Norm Bafunno, President of Toyota Indiana The Honorable Toshiyuki Iwado, Consul General of Japan in Chicago Ichiro Sone, Chief Executive Director of JETRO Tohru Sasaki, JP Morgan Chase-Tokyo Sheila Smith, Council for Foreign Relations Washington D.C.	Japan Update 2016 This conference was designed to inform attendees about key developments in Japan, current Japan-U.S. relations, as well as to highlight Japanese investment in Indiana. Participants: 600
2016/2/22	DEC Training Center Dublin, OH	Nobuhiro Nakajima, Director of JETRO Mexico	Mexico Seminar The seminar focused on providing general information about the Mexican automotive industry, regulations, current economic situation, and circumstances in Mexico. Participants: 36
2016/2/24	Sheraton Detroit Novi Novi, MI	Nobuhiro Nakajima, Director of JETRO Mexico	Mexico Seminar The seminar focused on providing general information about the Mexican automotive industry, regulations, current economic situation, and circumstances in Mexico. Participants: 56
2016/2/25	Auburn Hills, MI	Invited guests included Consul-General of Japan in Detroit Mitsuhiro Wada, Consul Yoshifumi Takemura, Naoya Gokita, Shoko Chukin Bank (New York)	Fiat Chrysler Automotive (FCA) - Japan Innovation Showcase Japan Innovation Showcase featured 25 Japanese supplier companies exhibiting their vehicle lightweighting technologies in the FCA Auditorium. Participants: 115 FCA Attendees: 500

* Speakers listed include invited guests, and those not employed by JETRO Chicago.

Attachment E

9/1/2015-2/29/2016

Response to item 14(a)

14(a)

Date	Amount
September 3	\$43,400.00
October 9	\$159,100.00
November 5, 6	\$41,900.00
December 3	\$74,200.00
January 7, 8	\$170,100.00
February 4	\$134,000.00
Total	\$622,700.00